

A centralized, Web-based source for everything you need to keep up with SEC requirements

Preparing financial statements that comply with SEC requirements is critical for any public corporation or any business going public. SEC requirements are constantly being updated. Can you afford not to rely on the most comprehensive and up-to-date SEC resources to stay fully informed?

Everything you need

- **Analysis** – clear, detailed guidance from expert practitioners
- **Regulations** – official text of SEC regulations, including selected proposals and final preambles
- **Forms** – more than 135 SEC financial reporting forms
- **Primary Law** – 1933 and 1934 Acts, Sarbanes-Oxley Act, Investment Company and Advisers Acts, and more
- **Examples** – regularly updated sample filings and disclosure language that demonstrate accepted disclosure formats
- **Agency Documents** – Accounting & Auditing Enforcement Releases, Accounting Series Releases, Financial Reporting Releases, Industry Guides, Staff Accounting Bulletins, Staff Legal Bulletins and EDGAR Filer Manual

Expert analysis and helpful examples

You'll benefit from the insights of financial reporting experts like Allan Afterman and Robert Richter. **SEC Compliance on Checkpoint®** puts more than 12,000 pages of analysis, diagnostic tools, and source material right at your fingertips. Plus, thousands of sample disclosures make reporting easier. In addition, the material is intelligently indexed and cross-referenced so you can find the information you need quickly.

Linked regulations, analysis, and forms

As you research the in-depth SEC analysis available with this resource, you are just a point and a click away from the regulations and forms related to what you're doing. PCAOB releases are also available as part of the package.

Weekly updates to keep you current

With **SEC Compliance on Checkpoint**, you always know you are working with the latest data. Information is updated weekly and is available immediately. Plus, RIA's SEC Accounting and Reporting Update service (included with **SEC Compliance on Checkpoint**) provides more than just SEC-related news. It offers technical bulletins on SEC-related statements within days of their issuance.

Comply with Confidence with WG&L's SEC Compliance Package on Checkpoint

Table of Contents:

Handbook of SEC Accounting and Disclosure

- Introduction
- Balance Sheet
- Income Statement
- General Topics
- Other Information
- Companies in Specialized Industries
- Special Issuers and Registrants
- Foreign Issuers and Registrants
- Auditing
- Appendixes

SEC Accounting and Reporting Manual

- Highlights
- SEC Organization
- Registration – 1933 Act
- Filings – 1934 Act
- Proxy and Information Statements
- Regulation S-K
- Financial Statements
- Small Business Reporting
- Incorporation by Reference
- Specialized Industries
- Independent Accountants' Responsibility
- Prospective Financial Information
- Exemptions From Registration
- Foreign Offerings and Reporting
- Exchange Listings
- Electronic Filing
- Glossary

SEC Accounting and Reporting Update (Bulletins several times a month as needed)

SEC Compliance: Financial Reporting and Forms

- Report Bulletins
- Introduction
- Finding Lists
- Interactive Compliance Checklists
- Who Must Report
- General Reporting Rules
- Form 10-K Annual Report
- Form 11-K Annual Report

- Proxy And Information Statements
- Form 8-K, Current Report
- Form 10-Q, Quarterly Report
- Exchange Act Registration Statements
- Regulation S-K Integrated Disclosure Rules
- Stock Exchange Listing
- Regulation S-X Accounting Rules
- Bank Holding Companies
- Insurance Companies
- Securities Act Registration
- Form S-1 Registration Statement
- Form S-3 Registration Statement
- Form S-4 Registration Statement
- Form S-8 Registration Statement
- Form S-11 Registration Statement
- Edgar System
- Exemptions From Registration
- Foreign Registrants/General Requirements
- Form 20-F/Registration/Annual Report
- Form F-1 Registration Statement
- Form F-3 Registration Statement
- Form F-4 Registration Statement
- Form F-6 Registration Statement
- Canadian Registrants
- Regulation S-B Small Business Issuers
- Form 10-Ksb Annual Report
- Form 10-Qsb Quarterly Report
- Form 10-Sb Registration Statement
- Form Sb-1 Registration Statement
- Form Sb-2 Registration Statement

Practical Guide to Corporate Governance and Accounting: Implementing the Requirements of the Sarbanes-Oxley Act, 2007 Edition

SEC Accounting Report (Monthly)

Hogan & Hartson's SEC Update (newsletter)

SEC Reference Library

- Agency Documents
- Comment Letters and Responses
- Advance Notices of Proposed & Final SEC Rules
- SEC Releases and Final Preambles
- SEC Final & Proposed Rules & Regulations
- Preambles to SEC Proposed Rules & Regulations
- SEC Prior Final & Proposed Rules, Regulations, & Preambles
- EDGAR Filer Manual
- Forms/Schedules
- Statutes and History
- Legislation

PCAOB

- Advance PCAOB
- Final Releases
- Proposals
- Final and Proposed Rules
- Auditing Standards
- Audit Practice Alerts
- Registration System
- Ethics Code
- Forms
- Bylaws
- Enforcement
- Inspections
- Staff Questions & Answers
- Frequently Asked Questions (FAQs)
- News Releases
- Prior Rules and Forms